

# Voting February 2021



## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Voting Statistics

	Total	Percent
Votable Meetings	34	
Meetings Voted	34	100.00%
Votable Ballots	126	
Ballots Voted	126	100.00%

			Management Proposals		Shareholder Proposals	
	Total	Percent	Total	Percent	Total	Percent
Votable Proposals	435		433		2	
Proposals Voted	435	100.00%	433	100.00%	2	100.00%
FOR Votes	426	97.93%	425	98.15%	1	50.00%
AGAINST Votes	9	2.07%	8	1.85%	1	50.00%
ABSTAIN Votes	0	0.00%	0	0.00%	0	0.00%
WITHHOLD Votes	0	0.00%	0	0.00%	0	0.00%
Votes WITH Management	426	97.93%	425	98.15%	1	50.00%
Votes AGAINST Management	9	2.07%	8	1.85%	1	50.00%

Note: Where management does not make a vote recommendation, these votes are not included in either votes WITH or AGAINST Management. In cases of different votes submitted across ballots for a given meeting, votes cast are distinctly counted by type per proposal where total votes submitted may be higher than unique proposals voted.

## TP ICAP Plc

Meeting Date: 01/02/2021

Country: United Kingdom

Meeting Type: Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Matters Relating to the Acquisition of Liquidnet Holdings, Inc.	For	For

## TP ICAP Plc

Meeting Date: 01/02/2021

Country: United Kingdom

Meeting Type: Court

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### TP ICAP Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Scheme of Arrangement	For	For

### TP ICAP Plc

**Meeting Date:** 01/02/2021      **Country:** United Kingdom  
**Meeting Type:** Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Matters Relating to the Introduction of a New Holding Company	For	For
2	Amend Articles of Association	For	For
3	Approve Reduction of Capital	For	For

### China Cinda Asset Management Co., Ltd.

**Meeting Date:** 02/02/2021      **Country:** China  
**Meeting Type:** Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Approve Type of Preference Shares to be Issued	For	For
1.2	Approve Number of Preference Shares to be Issued and Issue Size	For	For
1.3	Approve Par Value and Issue Price	For	For
1.4	Approve Maturity	For	For
1.5	Approve Method of Issuance and Target Investors	For	For
1.6	Approve Lock-Up Period	For	For
1.7	Approve Terms of Dividend Distribution	For	For
1.8	Approve Terms of Mandatory Conversion	For	For
1.9	Approve Terms of Conditional Redemption	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### China Cinda Asset Management Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.10	Approve Limited Voting Rights and Terms of Restoration of Voting Rights	For	For
1.11	Approve Order of Priority in Liquidation and Methods for Liquidation	For	For
1.12	Approve Rating Arrangements	For	For
1.13	Approve Security Arrangements	For	For
1.14	Approve Use of Proceeds	For	For
1.15	Approve Listing/Trading Arrangements	For	For
1.16	Approve Validity Period of the Resolution for the Issuance of Offshore Preference Shares	For	For
1.17	Approve Matters Relating to Authorization	For	For

### China Cinda Asset Management Co., Ltd.

Meeting Date: 02/02/2021

Country: China

Meeting Type: Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Approve Type of Preference Shares to be Issued	For	For
1.2	Approve Number of Preference Shares to be Issued and Issue Size	For	For
1.3	Approve Par Value and Issue Price	For	For
1.4	Approve Maturity	For	For
1.5	Approve Method of Issuance and Target Investors	For	For
1.6	Approve Lock-Up Period	For	For
1.7	Approve Terms of Dividend Distribution	For	For
1.8	Approve Terms of Mandatory Conversion	For	For
1.9	Approve Terms of Conditional Redemption	For	For
1.10	Approve Limited Voting Rights and Terms of Restoration of Voting Rights	For	For
1.11	Approve Order of Priority in Liquidation and Methods for Liquidation	For	For
1.12	Approve Rating Arrangements	For	For
1.13	Approve Security Arrangements	For	For

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Reporting Period: 01/02/2021 to 28/02/2021

### China Cinda Asset Management Co., Ltd.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.14	Approve Use of Proceeds	For	For
1.15	Approve Listing/Trading Arrangements	For	For
1.16	Approve Validity Period of the Resolution for the Issuance of Offshore Preference Shares	For	For
1.17	Approve Matters Relating to Authorization	For	For

### SNAM SpA

Meeting Date: 02/02/2021

Country: Italy

Meeting Type: Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Amend Company Bylaws Re: Article 2	For	For
2	Amend Company Bylaws Re: Article 12	For	Against
<i>Blended Rationale: A vote against is warranted as shareholder rights are, or could be reduced.</i>			
3	Amend Company Bylaws Re: Articles 13 and 24	For	For

### Accenture plc

Meeting Date: 03/02/2021

Country: Ireland

Meeting Type: Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director Jaime Ardila	For	For
1b	Elect Director Herbert Hainer	For	For
1c	Elect Director Nancy McKinstry	For	For
1d	Elect Director Beth E. Mooney	For	For
1e	Elect Director Gilles C. Pelisson	For	For
1f	Elect Director Paula A. Price	For	For
1g	Elect Director Venkata (Murthy) Renduchintala	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Accenture plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1h	Elect Director David Rowland	For	For
1i	Elect Director Arun Sarin	For	For
1j	Elect Director Julie Sweet	For	For
1k	Elect Director Frank K. Tang	For	For
1l	Elect Director Tracey T. Travis	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	For	For
4	Renew the Board's Authority to Issue Shares Under Irish Law	For	For
5	Authorize Board to Opt-Out of Statutory Pre-Emption Rights	For	For
6	Determine Price Range for Reissuance of Treasury Shares	For	For

### Anhui Conch Cement Company Limited

Meeting Date: 03/02/2021

Country: China

Meeting Type: Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Elect Zhang Xiaorong as Director	For	For

### Imperial Brands Plc

Meeting Date: 03/02/2021

Country: United Kingdom

Meeting Type: Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Approve Remuneration Policy	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Imperial Brands Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
4	Approve International Sharesave Plan	For	For
5	Approve Long Term Incentive Plan	For	For
6	Approve Deferred Share Bonus Plan	For	For
7	Approve Final Dividend	For	For
8	Elect Stefan Bomhard as Director	For	For
9	Re-elect Susan Clark as Director	For	For
10	Re-elect Therese Esperdy as Director	For	For
11	Elect Alan Johnson as Director	For	For
12	Elect Robert Kunze-Concewitz as Director	For	For
13	Re-elect Simon Langelier as Director	For	For
14	Elect Pierre-Jean Sivignon as Director	For	For
15	Re-elect Steven Stanbrook as Director	For	For
16	Re-elect Jonathan Stanton as Director	For	For
17	Re-elect Oliver Tant as Director	For	For
18	Reappoint Ernst & Young LLP as Auditors	For	For
19	Authorise the Audit Committee to Fix Remuneration of Auditors	For	For
20	Authorise EU Political Donations and Expenditure	For	For
21	Authorise Issue of Equity	For	For
22	Authorise Issue of Equity without Pre-emptive Rights	For	For
23	Authorise Market Purchase of Ordinary Shares	For	For
24	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For

### Premier Miton Group Plc

**Meeting Date:** 03/02/2021

**Country:** United Kingdom

**Meeting Type:** Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For

## Vote Summary Report

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### Premier Miton Group Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Reappoint KPMG LLP as Auditors and Authorise Their Remuneration	For	For
3	Approve Final Dividend	For	For
4	Approve Remuneration Report	For	For
5	Elect Alison Fleming as Director	For	For
6	Re-elect William Smith as Director	For	For
7	Authorise Issue of Equity	For	For
8	Authorise Issue of Equity without Pre-emptive Rights	For	For
9	Authorise Market Purchase of Ordinary Shares	For	For

### Compania de Distribucion Integral Logista Holdings SAU

Meeting Date: 04/02/2021

Country: Spain

Meeting Type: Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Approve Standalone Financial Statements	For	For
1.2	Approve Consolidated Financial Statements	For	For
2	Approve Non-Financial Information Statement	For	For
3	Approve Discharge of Board	For	For
4	Approve Allocation of Income and Dividends	For	For
5	Ratify Appointment of and Elect Luis Isasi Fernandez de Bobadilla as Director	For	For
6.1	Amend Corporate Purpose and Amend Articles Accordingly	For	For
6.2	Amend Articles 5, 6, 7, and 8	For	For
6.3	Amend Article 9	For	For
6.4	Amend Articles 10, 11, 12, 13, 15, 16, 17 and 18	For	For
6.5	Amend Article 14	For	For
6.6	Amend Articles 19 and 20	For	For
6.7	Approve Restated Articles of Association	For	For



## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Compania de Distribucion Integral Logista Holdings SAU

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
7	Approve Restated General Meeting Regulations	For	For
9	Approve Remuneration Policy	For	Against
<i>Blended Rationale: A vote against is warranted because severance payment exceed 24 months:- The company may implement a long-term savings scheme due in case of termination of an executive's contract, that brings executive's severance pay package above the two-year pay best practice standard.</i>			
10	Approve Restricted Stock Plan	For	Against
<i>Blended Rationale: A vote against is warranted due to a lack of disclosure provided by the company :- Like the 2020 LTIP approved by shareholders in the 2020 AGM, the company withholds some key information, including (i) metrics weight; (ii) targets; and, (iii) relative TSR peer group. The company traditionally discloses this missing information on an ex-post basis, in its remuneration report, though the company has this year provided less information on variable pay, especially on performance outcome, in its report than in prior years.- This lack of disclosure does not compensate the discretion left to the board under this proposal to determine key features of the plan, including specific metrics, metric weights, and TSR peer group.</i>			
11	Advisory Vote on Remuneration Report	For	Against
<i>Blended Rationale: A vote against is warranted due to a lack of disclosure provided by the company:- The company does not comment on significant dissent to last year's remuneration report;- The report provides insufficient information on STI mechanics, including performance outcome, unlike in previous years;- The board does not disclose sufficient information on the 2020 LTIPs, including relative TSR peer group composition or vesting schedule.</i>			
12	Authorize Board to Ratify and Execute Approved Resolutions	For	For

### The Sage Group Plc

Meeting Date: 04/02/2021

Country: United Kingdom

Meeting Type: Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Approve Final Dividend	For	For
4	Elect Sangeeta Anand as Director	For	For
5	Elect Irana Wasti as Director	For	For
6	Re-elect Sir Donald Brydon as Director	For	For
7	Re-elect Dr John Bates as Director	For	For
8	Re-elect Jonathan Bewes as Director	For	For
9	Re-elect Annette Court as Director	For	For

## Vote Summary Report

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### The Sage Group Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
10	Re-elect Drummond Hall as Director	For	For
11	Re-elect Steve Hare as Director	For	For
12	Re-elect Jonathan Howell as Director	For	For
13	Reappoint Ernst & Young LLP as Auditors	For	For
14	Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	For	For
15	Authorise Political Donations and Expenditure	For	For
16	Amend Discretionary Share Plan	For	For
17	Authorise Issue of Equity	For	For
18	Authorise Issue of Equity without Pre-emptive Rights	For	For
19	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
20	Authorise Market Purchase of Ordinary Shares	For	For
21	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For
22	Adopt New Articles of Association	For	For

### Brewin Dolphin Holdings Plc

**Meeting Date:** 05/02/2021

**Country:** United Kingdom

**Meeting Type:** Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Appoint Ernst & Young LLP as Auditors	For	For
3	Authorise Board to Fix Remuneration of Auditors	For	For
4	Approve Remuneration Report	For	For
5	Elect Toby Strauss as Director	For	For
6	Elect Robin Beer as Director	For	For
7	Re-elect Siobhan Boylan as Director	For	For
8	Elect Charles Ferry as Director	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Brewin Dolphin Holdings Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
9	Re-elect Ian Dewar as Director	For	For
10	Elect Phillip Monks as Director	For	For
11	Re-elect Caroline Taylor as Director	For	For
12	Re-elect Michael Kellard as Director	For	For
13	Approve Final Dividend	For	For
14	Authorise EU Political Donations and Expenditure	For	For
15	Authorise Issue of Equity	For	For
16	Authorise Issue of Equity without Pre-emptive Rights	For	For
17	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
18	Authorise Market Purchase of Ordinary Shares	For	For
19	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For
20	Adopt New Articles of Association	For	For

### Countryside Properties Plc

Meeting Date: 05/02/2021

Country: United Kingdom

Meeting Type: Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Re-elect David Howell as Director	For	For
4	Re-elect Iain McPherson as Director	For	For
5	Re-elect Mike Scott as Director	For	For
6	Re-elect Douglas Hurt as Director	For	For
7	Re-elect Amanda Burton as Director	For	For
8	Re-elect Baroness Sally Morgan as Director	For	For
9	Re-elect Simon Townsend as Director	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Countryside Properties Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
10	Reappoint PricewaterhouseCoopers LLP as Auditors	For	For
11	Authorise the Audit Committee to Fix Remuneration of Auditors	For	For
12	Authorise Issue of Equity	For	For
13	Authorise Issue of Equity without Pre-emptive Rights	For	For
14	Authorise Market Purchase of Ordinary Shares	For	For
15	Authorise EU Political Donations and Expenditure	For	For
16	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For

### On The Beach Group Plc

**Meeting Date:** 05/02/2021

**Country:** United Kingdom

**Meeting Type:** Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Re-elect Richard Pennycook as Director	For	For
4	Re-elect Simon Cooper as Director	For	For
5	Elect Shaun Morton as Director	For	For
6	Re-elect David Kelly as Director	For	For
7	Re-elect Elaine O'Donnell as Director	For	For
8	Reappoint Ernst & Young LLP as Auditors	For	For
9	Authorise Board to Fix Remuneration of Auditors	For	For
10	Authorise Issue of Equity	For	For
11	Authorise EU Political Donations and Expenditure	For	For
12	Authorise Issue of Equity without Pre-emptive Rights	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### On The Beach Group Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
13	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
14	Authorise Market Purchase of Ordinary Shares	For	For
15	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For

### China Suntien Green Energy Corporation Limited

Meeting Date: 08/02/2021

Country: China

Meeting Type: Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Satisfaction of the Criteria for the Non-Public Issuance of A Shares	For	For
2.1	Approve Class and Par Value of the Shares to be Issued	For	For
2.2	Approve Method and Time of the Issuance	For	For
2.3	Approve Target Subscriber and Subscription Method	For	For
2.4	Approve Pricing Benchmark Date, Issue Price and Pricing Method	For	For
2.5	Approve Number of Shares to be Issued	For	For
2.6	Approve Arrangements for Lock-Up Period	For	For
2.7	Approve Arrangements for the Accumulated Profit Prior to the Non-public Issuance	For	For
2.8	Approve Place of Listing of Shares Under the Issuance	For	For
2.9	Approve Amount to be Raised and Use of Proceeds	For	For
2.10	Approve Effective Period of the Resolution	For	For
3	Approve Proposal for Non-Public Issuance of A Shares	For	For
4	Approve Conditional Subscription Agreement and the Connected Transaction Between the Company and Hebei Construction & Investment Group Co., Ltd.	For	For
5	Approve Feasibility Analysis Report on the Use of Proceeds from the Non-Public Issuance of A Shares	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### China Suntien Green Energy Corporation Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
6	Approve Report on the Use of the Previously-Raised Proceeds	For	For
7	Approve Dilution of Current Returns, Adoption of Remedial Measures and Related Subject Undertakings of the Non-Public Issuance of A Shares	For	For
8	Approve Shareholders' Return Plan for the Next Three Years (2021-2023) of the Non-Public Issuance	For	For
9	Authorize Board to Handle Matters in Relation to the Non-Public Issuance of A Shares	For	For
10	Approve Specific Mandate	For	For
11	Approve Amendments to Articles of Association	For	For
12	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For
13	Amend Rules and Procedures Regarding Meetings of Board of Directors	For	For
14	Approve Amendments to the Policy on Management of Provision of External Guarantees	For	For
15	Approve Renewal of the Asset Financing Services Framework Agreement	For	For

### China Suntien Green Energy Corporation Limited

**Meeting Date:** 08/02/2021

**Country:** China

**Meeting Type:** Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Approve Class and Par Value of the Shares to be Issued	For	For
1.2	Approve Method and Time of the Issuance	For	For
1.3	Approve Target Subscriber and Subscription Method	For	For
1.4	Approve Pricing Benchmark Date, Issue Price and Pricing Method	For	For
1.5	Approve Number of Shares to be Issued	For	For
1.6	Approve Arrangements for Lock-Up Period	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### China Suntien Green Energy Corporation Limited

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.7	Approve Arrangements for the Accumulated Profit Prior to the Non-public Issuance	For	For
1.8	Approve Place of Listing of Shares Under the Issuance	For	For
1.9	Approve Amount to be Raised and Use of Proceeds	For	For
1.10	Approve Effective Period for the Resolution	For	For
2	Approve Proposal for Non-Public Issuance of A Shares	For	For
3	Approve Conditional Subscription Agreement and the Connected Transaction Between the Company and Hebei Construction & Investment Group Co., Ltd.	For	For
4	Authorize Board to Handle Matters in Relation to the Non-Public Issuance of A Shares	For	For
5	Approve Specific Mandate	For	For
6	Approve Amendments to Articles of Association	For	For
7	Amend Rules and Procedures Regarding General Meetings of Shareholders	For	For

### Baoshan Iron & Steel Co., Ltd.

**Meeting Date:** 09/02/2021

**Country:** China

**Meeting Type:** Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1.1	Elect Sheng Genghong as Non-Independent Director	For	For
1.2	Elect Zhou Xuedong as Non-Independent Director	For	For

### CIT Group Inc.

**Meeting Date:** 09/02/2021

**Country:** USA

**Meeting Type:** Special

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### CIT Group Inc.

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Merger Agreement	For	For
2	Advisory Vote on Golden Parachutes	For	For
3	Adjourn Meeting	For	For

### CRH Plc

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Meeting Date: 09/02/2021

Country: Ireland

Meeting Type: Special

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Migration of the Migrating Shares to Euroclear Bank's Central Securities Depository	For	For
2	Adopt New Articles of Association	For	For
3	Authorise Company to Take All Actions to Implement the Migration	For	For
4	Adopt New Articles of Association Re: Article 51(d)	For	For
5	Approve Capital Reorganisation	For	For
6	Amend Articles of Association	For	For

### Franklin Resources, Inc.

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Meeting Date: 09/02/2021

Country: USA

Meeting Type: Annual

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director Mariann Byerwalter	For	For
1b	Elect Director Alexander S. Friedman	For	For
1c	Elect Director Gregory E. Johnson	For	For
1d	Elect Director Jennifer M. Johnson	For	For



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### Franklin Resources, Inc.

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1e	Elect Director Rupert H. Johnson, Jr.	For	For
1f	Elect Director John Y. Kim	For	For
1g	Elect Director Anthony J. Noto	For	For
1h	Elect Director John W. Thiel	For	For
1i	Elect Director Seth H. Waugh	For	For
1j	Elect Director Geoffrey Y. Yang	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	For	For
3	Amend Omnibus Stock Plan	For	Against

*Blended Rationale: A vote against is warranted due to the lack of disclosure provided by the company:- The disclosure of the change-in-control ("CIC") vesting treatment is incomplete.*

### Numis Corp. Plc

**Meeting Date:** 09/02/2021

**Country:** United Kingdom

**Meeting Type:** Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Final Dividend	For	For
3	Re-elect Ross Mitchinson as Director	For	For
4	Re-elect Catherine James as Director	For	For
5	Re-elect Robert Sutton as Director	For	For
6	Reappoint PricewaterhouseCoopers LLP as Auditors	For	For
7	Authorise the Audit Committee to Fix Remuneration of Auditors	For	For
8	Authorise EU Political Donations and Expenditure	For	For
9	Authorise Issue of Equity	For	For
10	Authorise Issue of Equity without Pre-emptive Rights	For	For
11	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For

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### Numis Corp. Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
12	Authorise Market Purchase of Ordinary Shares	For	For

### RWS Holdings Plc

**Meeting Date:** 10/02/2021      **Country:** United Kingdom  
**Meeting Type:** Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
<i>Blended Rationale: A vote FOR is warranted as we do not have any specific concerns regarding the level of executive pay overall.</i>			
3	Approve Final Dividend	For	For
4	Re-elect Andrew Brode as Director	For	For
<i>Blended Rationale: A vote FOR is warranted as we do not have any specific concerns regarding the nominee's director roles at two other companies.</i>			
5	Re-elect Richard Thompson as Director	For	For
6	Re-elect Desmond Glass as Director	For	For
7	Re-elect Lara Boro as Director	For	For
8	Elect Frances Earl as Director	For	For
9	Elect David Clayton as Director	For	For
10	Elect Gordon Stuart as Director	For	For
11	Reappoint PricewaterhouseCoopers LLP as Auditors	For	For
12	Authorise Board to Fix Remuneration of Auditors	For	For
13	Authorise Issue of Equity	For	For
14	Authorise Issue of Equity without Pre-emptive Rights	For	For
15	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
16	Authorise Market Purchase of Ordinary Shares	For	For
17	Adopt New Articles of Association	For	For

## Vote Summary Report

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### Siemens Energy AG

**Meeting Date:** 10/02/2021

**Country:** Germany

**Meeting Type:** Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Approve Discharge of Management Board for Fiscal 2019/20	For	For
3	Approve Discharge of Supervisory Board for Fiscal 2019/20	For	For
4	Ratify Ernst & Young GmbH as Auditors for Fiscal 2020/21	For	For
5.1	Elect Christine Bortenlaenger to the Supervisory Board	For	For
5.2	Elect Sigmar Gabriel to the Supervisory Board	For	For
5.3	Elect Joe Kaeser to the Supervisory Board	For	Against
<i>Blended Rationale: A vote against is warranted as the nominee is a non-independent NED and serves on the Audit and Remuneration Committees, which are not fully independent.</i>			
5.4	Elect Hubert Lienhard to the Supervisory Board	For	For
5.5	Elect Hildegard Mueller to the Supervisory Board	For	For
5.6	Elect Laurence Mulliez to the Supervisory Board	For	For
5.7	Elect Matthias Rebellius to the Supervisory Board	For	For
5.8	Elect Ralf Thomas to the Supervisory Board	For	Against
<i>Blended Rationale: A vote against is warranted as the nominee is a non-independent NED and serves on the Audit Committee, which is not fully independent.</i>			
5.9	Elect Geisha Williams to the Supervisory Board	For	For
5.10	Elect Randy Zwirn to the Supervisory Board	For	For
6	Approve Remuneration Policy for the Management Board	For	For
7	Approve Remuneration of Supervisory Board	For	For

### Aurubis AG

**Meeting Date:** 11/02/2021

**Country:** Germany

**Meeting Type:** Annual

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Aurubis AG

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Approve Allocation of Income and Dividends of EUR 1.30 per Share	For	For
3.1	Approve Discharge of Management Board Member Roland Harings for Fiscal 2019/20	For	For
3.2	Approve Discharge of Management Board Member Heiko Arnold for Fiscal 2019/20	For	For
3.3	Approve Discharge of Management Board Member Thomas Buenger for Fiscal 2019/20	For	For
3.4	Approve Discharge of Management Board Member Rainer Verhoeven for Fiscal 2019/20	For	For
4.1	Approve Discharge of Supervisory Board Member Fritz Vahrenholt for Fiscal 2019/20	For	For
4.2	Approve Discharge of Supervisory Board Member Stefan Schmidt for Fiscal 2019/20	For	For
4.3	Approve Discharge of Supervisory Board Member Deniz Acar for Fiscal 2019/20	For	For
4.4	Approve Discharge of Supervisory Board Member Andrea Bauer for Fiscal 2019/20	For	For
4.5	Approve Discharge of Supervisory Board Member Christian Ehrentraut for Fiscal 2019/20	For	For
4.6	Approve Discharge of Supervisory Board Member Heinz Fuhrmann for Fiscal 2019/20	For	For
4.7	Approve Discharge of Supervisory Board Member Karl Jakob for Fiscal 2019/20	For	For
4.8	Approve Discharge of Supervisory Board Member Jan Koltze for Fiscal 2019/20	For	For
4.9	Approve Discharge of Supervisory Board Member Stephan Kruemmer for Fiscal 2019/20	For	For
4.10	Approve Discharge of Supervisory Board Member Elke Lossin for Fiscal 2019/20	For	For
4.11	Approve Discharge of Supervisory Board Member Sandra Reich for Fiscal 2019/20	For	For
4.12	Approve Discharge of Supervisory Board Member Melf Singer for Fiscal 2019/20	For	For
5	Ratify Deloitte GmbH as Auditors for Fiscal 2020/21	For	For
6	Approve Remuneration Policy	For	For
7	Approve Remuneration of Supervisory Board	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Aurubis AG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
8	Approve Creation of EUR 57.5 Million Pool of Capital with Partial Exclusion of Preemptive Rights	For	Against

*Blended Rationale: A vote AGAINST the proposed authorisation is warranted because the issuance request would result in a potentially excessive dilution of 20 percent.*

### Euromoney Institutional Investor Plc

**Meeting Date:** 11/02/2021      **Country:** United Kingdom  
**Meeting Type:** Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Approve Final Dividend	For	For
4	Re-elect Leslie Van de Walle as Director	For	For
5	Re-elect Andrew Rashbass as Director	For	For
6	Re-elect Wendy Pallot as Director	For	For
7	Re-elect Jan Babiak as Director	For	For
8	Re-elect Colin Day as Director	For	For
9	Re-elect Imogen Joss as Director	For	For
10	Re-elect Tim Pennington as Director	For	For
11	Re-elect Lorna Tilbian as Director	For	For
12	Reappoint PricewaterhouseCoopers LLP as Auditors	For	For
13	Authorise Board to Fix Remuneration of Auditors	For	For
14	Authorise Issue of Equity	For	For
15	Approve Remuneration Policy	For	For
16	Authorise Issue of Equity without Pre-emptive Rights	For	For
17	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
18	Authorise Market Purchase of Ordinary Shares	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Euromoney Institutional Investor Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
19	Adopt New Articles of Association	For	For
20	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For

### Tesco Plc

**Meeting Date:** 11/02/2021      **Country:** United Kingdom  
**Meeting Type:** Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Approve Special Dividend	For	For
2	Approve Share Consolidation	For	For
3	Authorise Issue of Equity	For	For
4	Authorise Issue of Equity without Pre-emptive Rights	For	For
5	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
6	Authorise Market Purchase of Ordinary Shares	For	For

### Revolution Bars Group Plc

**Meeting Date:** 15/02/2021      **Country:** United Kingdom  
**Meeting Type:** Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Reappoint PWC LLP as Auditors	For	For
4	Authorise Board to Fix Remuneration of Auditors	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Coca-Cola Icecek AS

**Meeting Date:** 17/02/2021

**Country:** Turkey

**Meeting Type:** Special

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Open Meeting and Elect Presiding Council of Meeting	For	For
2	Approve Dividends	For	For

### Apple Inc.

**Meeting Date:** 23/02/2021

**Country:** USA

**Meeting Type:** Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director James Bell	For	For
1b	Elect Director Tim Cook	For	For
1c	Elect Director Al Gore	For	For
1d	Elect Director Andrea Jung	For	For
1e	Elect Director Art Levinson	For	For
1f	Elect Director Monica Lozano	For	For
1g	Elect Director Ron Sugar	For	For
1h	Elect Director Sue Wagner	For	For
2	Ratify Ernst & Young LLP as Auditors	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
4	Proxy Access Amendments	Against	For
<i>Blended Rationale: Artemis supports proposals that enhance shareholder rights.</i>			
5	Improve Principles of Executive Compensation Program	Against	Against

### Deere & Company

**Meeting Date:** 24/02/2021

**Country:** USA

**Meeting Type:** Annual

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Deere & Company

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1a	Elect Director Tamra A. Erwin	For	For
1b	Elect Director Alan C. Heuberger	For	For
1c	Elect Director Charles O. Holliday, Jr.	For	For
1d	Elect Director Dipak C. Jain	For	For
1e	Elect Director Michael O. Johanns	For	For
1f	Elect Director Clayton M. Jones	For	For
1g	Elect Director John C. May	For	For
1h	Elect Director Gregory R. Page	For	For
1i	Elect Director Sherry M. Smith	For	For
1j	Elect Director Dmitri L. Stockton	For	For
1k	Elect Director Sheila G. Talton	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	For	For
3	Ratify Deloitte & Touche LLP as Auditors	For	For

### Electra Private Equity Plc

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**Meeting Date:** 24/02/2021

**Country:** United Kingdom

**Meeting Type:** Annual

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Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Re-elect Paul Goodson as Director	For	For
4	Re-elect Neil Johnson as Director	For	For
5	Re-elect David Lis as Director	For	For
6	Re-elect Gavin Manson as Director	For	For
7	Re-elect Stephen Welker as Director	For	For
8	Re-elect Linda Wilding as Director	For	For



## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Electra Private Equity Plc

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
9	Reappoint Deloitte LLP as Auditors	For	For
10	Authorise the Audit and Risk Committee to Fix Remuneration of Auditors	For	For
11	Authorise Issue of Equity	For	For
12	Authorise Issue of Equity without Pre-emptive Rights	For	For
13	Authorise the Company to Call General Meeting with Two Weeks' Notice	For	For

### Infineon Technologies AG

Meeting Date: 25/02/2021

Country: Germany

Meeting Type: Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
2	Approve Allocation of Income and Dividends of EUR 0.22 per Share	For	For
3.1	Approve Discharge of Management Board Member Reinhard Ploss for Fiscal 2020	For	For
3.2	Approve Discharge of Management Board Member Helmut Gassel for Fiscal 2020	For	For
3.3	Approve Discharge of Management Board Member Jochen Hanebeck for Fiscal 2020	For	For
3.4	Approve Discharge of Management Board Member Sven Schneider for Fiscal 2020	For	For
4.1	Approve Discharge of Supervisory Board Member Wolfgang Eder for Fiscal 2020	For	For
4.2	Approve Discharge of Supervisory Board Member Peter Bauer (until Feb. 20, 2020) for Fiscal 2020	For	For
4.3	Approve Discharge of Supervisory Board Member Xiaoqun Clever (from Feb. 20, 2020) for Fiscal 2020	For	For
4.4	Approve Discharge of Supervisory Board Member Johann Dechant for Fiscal 2020	For	For
4.5	Approve Discharge of Supervisory Board Member Herbert Diess (until Feb. 20, 2020) for Fiscal 2020	For	For
4.6	Approve Discharge of Supervisory Board Member Friedrich Eichiner (from Feb. 20, 2020) for Fiscal 2020	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

# Infineon Technologies AG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
4.7	Approve Discharge of Supervisory Board Member Annette Engelfried for Fiscal 2020	For	For
4.8	Approve Discharge of Supervisory Board Member Peter Gruber for Fiscal 2020	For	For
4.9	Approve Discharge of Supervisory Board Member Gerhard Hobbach (until Feb. 20, 2020) for Fiscal 2020	For	For
4.10	Approve Discharge of Supervisory Board Member Hans-Ulrich Holdenried for Fiscal 2020	For	For
4.11	Approve Discharge of Supervisory Board Member Renate Koecher (until Feb. 20, 2020) for Fiscal 2020	For	For
4.12	Approve Discharge of Supervisory Board Member Susanne Lachenmann for Fiscal 2020	For	For
4.13	Approve Discharge of Supervisory Board Member Geraldine Picaud for Fiscal 2020	For	For
4.14	Approve Discharge of Supervisory Board Member Manfred Puffer for Fiscal 2020	For	For
4.15	Approve Discharge of Supervisory Board Member Melanie Riedl (from Feb. 20, 2020) for Fiscal 2020	For	For
4.16	Approve Discharge of Supervisory Board Member Kerstin Schulzendorf for Fiscal 2020	For	For
4.17	Approve Discharge of Supervisory Board Member Juergen Scholz for Fiscal 2020	For	For
4.18	Approve Discharge of Supervisory Board Member Ulrich Spiesshofer (from Feb. 20, 2020) for Fiscal 2020	For	For
4.19	Approve Discharge of Supervisory Board Member Margret Suckale (from Feb. 20, 2020) for Fiscal 2020	For	For
4.20	Approve Discharge of Supervisory Board Member Eckart Suenner (until Feb. 20, 2020) for Fiscal 2020	For	For
4.21	Approve Discharge of Supervisory Board Member Diana Vitale for Fiscal 2020	For	For
5	Ratify KPMG AG as Auditors for Fiscal 2021	For	For
6	Approve Remuneration Policy	For	For
7	Approve Remuneration of Supervisory Board	For	For
8	Approve Creation of EUR 30 Million Pool of Capital for Employee Stock Purchase Plan	For	For
9	Amend Articles Re: Information for Registration in the Share Register	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

## Infineon Technologies AG

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
10	Amend Articles Re: Supervisory Board's Rules of Procedure	For	For

## Virgin Money UK Plc

**Meeting Date:** 25/02/2021      **Country:** United Kingdom  
**Meeting Type:** Annual

Proposal Number	Proposal Text	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	For	For
2	Approve Remuneration Report	For	For
3	Re-elect David Bennett as Director	For	For
4	Re-elect Paul Coby as Director	For	For
5	Re-elect David Duffy as Director	For	For
6	Re-elect Geeta Gopalan as Director	For	For
7	Re-elect Darren Pope as Director	For	For
8	Re-elect Amy Stirling as Director	For	For
9	Re-elect Tim Wade as Director	For	For
10	Reappoint Ernst & Young LLP as Auditors	For	For
11	Authorise the Audit Committee to Fix Remuneration of Auditors	For	For
12	Authorise Issue of Equity	For	For
13	Authorise Issue of Equity without Pre-emptive Rights	For	For
14	Authorise Issue of Equity without Pre-emptive Rights in Connection with an Acquisition or Other Capital Investment	For	For
15	Authorise Issue of Equity in Connection with AT1 Securities	For	For
16	Authorise Issue of Equity without Pre-emptive Rights in Connection with AT1 Securities	For	For
17	Authorise Market Purchase of Ordinary Shares	For	For
18	Authorise Off-Market Purchase of Ordinary Shares	For	For
19	Authorise EU Political Donations and Expenditure	For	For

## Vote Summary Report

Reporting Period: 01/02/2021 to 28/02/2021

### Virgin Money UK Plc

<b>Proposal Number</b>	<b>Proposal Text</b>	<b>Mgmt Rec</b>	<b>Vote Instruction</b>
20	Authorise Issue of Equity in Connection with the Conduct Indemnity Deed	For	For
21	Authorise Issue of Equity without Pre-emptive Rights in Connection with the Conduct Indemnity Deed	For	For

Source: Artemis, Institutional Shareholder Services (ISS)

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